AXIAMD.006A

JUL 1 2 2002 PATENT

IN THE UNITED STATES PAPENT AND TRADEMARK OFFICE

| Applicant | : | Andrew H. Cragg, M.D. Jonathan Kagan |) | Group Art Unit 3731 | |
|-----------|---|---|-------|-----------------------|-----|
| App. No. | : | 09/782,534 |) | | . 7 |
| Filed | : | February 13, 2001 |) | ي . | • |
| For | : | APPARATUS FOR PERFORMING A DISCECTOMY THROUGH A TRANS-SACRAL AXIAL BORE WITHIN THE VERTEBRAE OF THE SPINE |))) | RECEIVED JUL 1 6 2002 | |
| Examiner | : | Unknown | | H3700 | |

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office P.O. Box 2327 Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the receipt of a first Office Action on the merits, and presumably no fee is required in accordance with 37 C.F.R. § 1.97(b)(3). If a first Office Action on the merits was mailed before the mailing date of this Statement, the Commissioner is authorized to charge the fee set forth in 37 C.F.R. § 1.17(p) to Deposit Account No. 11-1410.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: $\frac{7/q}{o2}$

y: Xwand m

Gerard von Hoffmann Registration No. 33,043

Attorney of Record

620 Newport Center Drive

Sixteenth Floor

Newport Beach, CA 92660

(949) 760-0404

H:\DOCS\JLP\JLP-1322.DOC:lml // 070502

| | | | | | | | S | HEET 1 OF |
|--------------------------|--|--|----------|---|-------------------|------------------------|-----------------|------------------|
| FORM | M PTO-14 | 49 U.S. DEPARTMENT PATENT AND TRA | | ATTY. DOCKET NO. AXIAMD.006A | APPLICA 09/782 | ATION NO. ,534 | | |
| l! | NFORMA | TION DISCLOSURE STATEM BY APPLICANT | MENT | APPLICANTS Andrew H. Cragg, MD Jonathan Kagan | | AN AND | | |
| , | (USE SE\ | PERAL SHEETS IF NECES | ARX | FILING DATE February 13, 2001 | GROUP 3731 | | | |
| | | JUL 1 2 | 2002 = | | | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | |
| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUBCLASS | FILING APPRO | DATE OPRIATE) |
| | | 4,554,914 | 11/26/85 | Kapp et al. | , i | UL | m | |
| | | 6,402,750 B1 | 06/11/02 | Atkinson et al. | | | C | |
| | | | | | | | | |
| | | | | | | 200 2 NTER | m | |
| | | | | | | 22 2 | Ö | |
| | | | | | | 6 2002 CENTER R3700 | | |
| | | | | | | | | |
| | | | | | | | | |
| | | ··· | | | | | | |
| | | | | | | | | |
| | | - | | | | | | |
| | | | <u> </u> | | | 1 | | |
| FOREIGN PATENT DOCUMENTS | | | | | | | | |
| EXAMINER | | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANS | LATION |
| INITIAL | | | | | | | YES | NO |
| | | | | | | | | |
| | | Manage Ma | | | | | - | |
| | | | | | | | | . = |
| | | | | | | | - | |
| | | | | | | | | |
| EXAMINER INITIAL | | | | | | | | |
| | | ·· ·· · · · · · · · · · · · · · · · · | | | | | | |

H:\DOCS\JLP\JLP-1332.DOC:lml 070502

| EXAMINER | DATE CONSIDERED |
|---|--|
| AFTANNIED WITH A STATION CONCERNED WHET IED OF NOT CITATION | LICINI CONFORMANCE WITH MEED 600, BRAWLINE THROUGH CITATION IE NOT |

*EXAMINER: INITIAL IF CITATION CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP 609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED, INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.